



(2020). Haptoglobin genotype and outcome after aneurysmal subarachnoid haemorrhage. *Journal of Neurology, Neurosurgery, and Psychiatry*, 91(3), 305-313. <https://doi.org/10.1136/jnnp-2019-321697>

Peer reviewed version

Link to published version (if available):
[10.1136/jnnp-2019-321697](https://doi.org/10.1136/jnnp-2019-321697)

[Link to publication record in Explore Bristol Research](#)
PDF-document

This is the author accepted manuscript (AAM). The final published version (version of record) is available online via BMJ at <https://jnnp.bmj.com/content/91/3/305>. Please refer to any applicable terms of use of the publisher.

University of Bristol - Explore Bristol Research

General rights

This document is made available in accordance with publisher policies. Please cite only the published version using the reference above. Full terms of use are available:
<http://www.bristol.ac.uk/red/research-policy/pure/user-guides/ebr-terms/>

Haptoglobin genotype and outcome after aneurysmal subarachnoid haemorrhage

Matthew J Morton PhD^{1#}, Isabel C Hostettler MD^{2#}, Nabila Kazmi PhD^{3#}, Varinder Alg MBBS², Stephen Bonner PhD⁴, Martin M Brown FRCP², Andrew Durnford MBBS⁵, Ben Gaastra MBBS⁵, Patrick Garland PhD¹, Joan Grieve MD⁶, Neil Kitchen PhD⁶, Daniel Walsh PhD⁷, Ardalan Zolnourian MBBS⁵, Henry Houlden PhD⁸, Tom R Gaunt PhD³, Diederik Bulters FRCS⁵, David J Werring PhD, FRCP^{2\$}, Ian Galea PhD, FRCP^{1\$*} on behalf of the Genetics and Observational Subarachnoid Haemorrhage (GOSH) Study investigators

joint first authorship

\$ joint senior authorship

* corresponding author

¹ Clinical Neurosciences, Clinical & Experimental Sciences, Faculty of Medicine, University of Southampton, UK

² Stroke Research Centre, UCL Queen Square Institute of Neurology, University College London, London, UK

³ MRC Integrative Epidemiology Unit (IEU), Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, UK

⁴ Department of Anaesthesia, The James Cook University Hospital, Middlesbrough, UK

⁵ Wessex Neurological Centre, University Hospital Southampton NHS Foundation Trust, Southampton, UK

⁶ Department of Neurosurgery, The National Hospital of Neurology and Neurosurgery, London, UK

⁷ Department of Neurosurgery, King's College Hospital NHS Foundation Trust, London, UK

⁸ Neurogenetics Laboratory, The National Hospital of Neurology and Neurosurgery, London, UK

Word count = 3407

Corresponding author's contact information: Dr Ian Galea, Associate Professor, Clinical Neurosciences, Clinical & Experimental Sciences, Faculty of Medicine, University of Southampton, Mailpoint 806, Level D, Southampton General Hospital, Southampton SO16 6YD, UK. E-mail - I.Galea@soton.ac.uk

Number of figures and tables: 8

Abstract

Objective: After aneurysmal subarachnoid haemorrhage (aSAH), extracellular haemoglobin (Hb) in the subarachnoid space is bound by haptoglobin, neutralizing Hb toxicity and helping its clearance. Two exons in the *HP* gene (encoding haptoglobin) exhibit copy number variation (CNV), giving rise to HP1 and HP2 alleles, which influence haptoglobin expression level and possibly haptoglobin function. We hypothesized that the *HP* CNV associates with long-term outcome beyond the first year after aSAH.

Methods: The *HP* CNV was typed using quantitative PCR in 1299 aSAH survivors in the Genetics of Subarachnoid Haemorrhage (GOSH) Study, a retrospective multicentre cohort study with a median follow-up of 18 months. To investigate mediation of the *HP* CNV effect by haptoglobin expression level, as opposed to functional differences, we used rs2000999, a single nucleotide polymorphism associated with haptoglobin expression independent of the *HP* CNV. Outcome was assessed using modified Rankin and Glasgow Outcome Scores. SAH volume was dichotomized on the Fisher grade. Haemoglobin-haptoglobin complexes were measured in cerebrospinal fluid (CSF) of 44 aSAH patients, and related to the *HP* CNV.

Results: The HP2 allele associated with a favourable long-term outcome after high-volume, but not low-volume aSAH (multivariable logistic regression). However rs2000999 did not predict outcome. The HP2 allele associated with lower CSF haemoglobin-haptoglobin complex levels. The CSF Hb concentration after high-volume and low-volume aSAH, was respectively higher and lower than the Hb-binding capacity of CSF haptoglobin.

Conclusion: The HP2 allele carries a favourable long-term prognosis after high-volume aSAH. Haptoglobin and the Hb clearance pathway are therapeutic targets after aSAH.

Introduction

Extracellular haemoglobin (Hb) is toxic and is immediately neutralized by the protein haptoglobin (Hp) as a result of a high affinity binding interaction. The Hp-Hb complex is then recognized and endocytosed by the cell surface receptor CD163¹. After aneurysmal subarachnoid haemorrhage (aSAH), Hb is released into the cerebrospinal fluid (CSF) from damaged erythrocytes trapped in the subarachnoid space, where it is toxic to neurones and other cells in the central nervous system². The haptoglobin-CD163 Hb clearance mechanism is also present in the central nervous system³.

The *HP* gene codes for the α and β chain of haptoglobin. Two codominant *HP* alleles exist: HP1 and HP2; the α chain coding region is duplicated in the HP2 allele, so this is a copy number variant (CNV). Three possible *HP* CNV genotypes: HP1-1, HP2-1 and HP2-2, generate the three types of haptoglobin polymers, Hp1-1, Hp2-1 and Hp2-2⁴, illustrated in Figure 1. In HP1-1 individuals, haptoglobin consists of two chains (α 1 and β) linked by one disulphide bond. The α 1 chain has another free cysteine which leads to dimerization of the haptoglobin molecule, so that the only form present in HP1 homozygotes (HP1-1) is the haptoglobin dimer. In HP2 homozygotes (HP2-2), two free cysteines in the duplicated α 2 region endow haptoglobin with the capacity to form cyclic polymers of increasing size. In heterozygotes (HP2-1), linear polymers of increasing size occur, and the dimer is also present.

In several small studies, the *HP* CNV was variably associated with short-term to medium-term outcome after aSAH⁵⁻⁹, but an individual patient level data analysis did not confirm this¹⁰. An important consideration is that these studies looked at outcome mostly within the first six months after aSAH, and this may not be early enough to allow early brain injury events other than Hb, to settle. Another unresolved question relates to the mechanism of action. *HP* alleles are associated with differential haptoglobin expression (HP1-1 > HP2-1 > HP2-2¹¹) as well as haemoglobin-haptoglobin complex scavenging rate by CD163 *in vitro*¹²⁻¹⁴. It is not clear which of these two consequences of the *HP* CNV mediate its effect on aSAH outcome.

To more definitively address these issues, we studied the effect of the *HP* CNV in the Genetic and Observational Subarachnoid Haemorrhage (GOSH) cohort¹⁵ study of long-term outcome in aSAH survivors, assessed at a median time from ictus of 18 months, up to 8

years. We hypothesized that the *HP* CNV affects long-term outcome after aSAH, and investigated how much of this effect was mediated by haptoglobin expression level using rs2000999, a single nucleotide polymorphism (SNP) associated with haptoglobin expression levels in plasma and tissue (GG > GA > AA), independent of HP CNV^{16 17}. The combined use of rs2000999 and the HP CNV is a useful genetic epidemiological tool to dissect the mechanism underlying differences between HP1 and HP2 alleles¹⁸. We sought mechanistic evidence supporting our findings by performing biochemical analyses in a separate cohort of aSAH patients with available CSF samples.

Subjects and Methods

GOSH study

Clinical data and DNA was collected from patients with aSAH enrolled in the GOSH study, designed to examine the genetic and clinical characteristics of patients with ruptured and unruptured intracranial aneurysms. The GOSH study recruited at 22 tertiary neurosurgical centres in the UK between 2011 and 2014. Written informed consent was obtained from participants, or next of kin if patients lacked capacity. Recruitment was from inpatient and outpatient settings following either a new or previous diagnosis respectively; patients who died early after aSAH were not recruited. Standardized case report forms were completed by trained stroke research practitioners. The study was approved by the National Research Ethics Committee (NRES reference no: 09/H0716/54).

Outcomes, covariates & definitions

The primary outcome measure was the modified Rankin scale (mRS) at follow up, dichotomized into favourable (mRS 0-1) and unfavourable (mRS 2-6) outcomes, administered by qualified research practitioners at the time of assessment. The choice of this instrument and dichotomization threshold was based on data availability in this population of aSAH survivors. The modified version¹⁹ of the Rankin Scale²⁰ was used throughout in a standardized way, ranging from 0 (no symptoms at all) to 5 (severe disability); mRS 6 (death) was added to include mortality²¹.

Covariates included age, sex, admission WFNS score²², admission Fisher grade²³, hydrocephalus, aneurysmal treatment (coiling, clipping, or none), time since ictus, centre, smoking pack years, presence or absence of nimodipine treatment, diabetes mellitus,

hypercholesterolaemia, hypertension, anti-hypertensive medication, and non-SAH related disability affecting the primary outcome measure. We defined hypertension, hypercholesterolaemia and diabetes mellitus as present if the patient or medical records indicated the condition for which either drug treatment, lifestyle, or other advice had been provided.

Control population

A sample of 927 individuals from the ALSPAC cohort^{24 25}, previously genotyped for the *HP* CNV (see below), was used as the control population. Plasma haptoglobin level was available for 325 of these individuals. It was measured using an immunoturbimetric haptoglobin assay (Cobas Integra kit catalogue number 03005593 322, Roche, USA) on a Hitachi Cobas c311 autoanalyser. In the ALSPAC study, pregnant women resident in Avon, UK with expected dates of delivery 1st April 1991 to 31st December 1992 were invited to take part in the study. Of the 15,247 pregnancies, there were 14,899 children who were alive at 1 year of age. The ALSPAC study website (<http://www.bristol.ac.uk/alspac/researchers/our-data/>) contains details of all the data that is available through a fully searchable data dictionary and variable search tool. Ethical approval for the study was obtained from the ALSPAC Ethics and Law Committee and the Local Research Ethics Committees.

Genotyping

Detailed genotyping methods for the *HP* CNV and rs2000999 are in the online supplementary methods.

Biochemistry – high Fisher grade aSAH

44 Fisher grade III-IV aSAH patients were recruited at the Southampton centre, after approval by the National Research Ethics Committee (reference no: 12/SC/0666). CSF was obtained from external ventricular drains (EVD) on alternate days from insertion and up to two weeks or until the EVD was removed. CSF was spun and frozen within one hour of sampling. We did not use CSF samples in the event of an EVD infection. Further details are in the online supplementary methods.

We performed haemoglobin-haptoglobin complex quantification, irrespective of oxidation state, using size exclusion ultra-performance liquid chromatography (UPLC) with absorbance measurement at 415nm. A 9 point Hb standard curve (0 to 1 mg/ml) was prepared from commercially-available lyophilized human Hb (Sigma) reconstituted to 1 g/L in diluent (9

g/L NaCl, 10 mM EDTA). The concentration of the standard Hb solution was verified independently by spectrophotometric quantification at 570 nm using a HemocueTM (Hemocue, Sweden). We determined accuracy of the standard curve to be 3.3% using a Hb control. 50µL of neat CSF was loaded onto the UPLC column using a running buffer consisting of 50 mM Tris and 150 mM NaCl, at pH 7.5. Bound and free Hb peaks' area under the curve was quantified against the Hb standard curve. We quality controlled each assay run using three haemoglobin-haptoglobin complex standards (200 µg/ml, 10 µg/ml and 1 µg/ml) covering the dynamic range of the assay. We determined haptoglobin phenotype using two methods: inspection of serum UPLC chromatograms ²⁶ and non-denaturing Western blot using 1:5000 polyclonal rabbit anti-haptoglobin antibody (Sigma, Gillingham, Dorset, UK), with 100% concordance.

CSF/serum albumin ratio (Qalb) was determined after measurement of albumin in serum and CSF by rate nephelometry on an IMMAGE Immunochemistry system (Beckman Coulter). Qalb was only measured on day 4 post-ictus onwards, to ensure reliability as a measure of blood-brain barrier permeability, since preliminary data (not shown) established that three days were required for plasma proteins derived from the bleed to be cleared from the intrathecal compartment. For this reason, Qalb was only available in 19 aSAH patients.

Biochemistry – low Fisher grade aSAH

CSF samples from 8 patients with aSAH Grade I-II were identified retrospectively during an ongoing service evaluation of lumbar puncture at the Southampton centre. We excluded cases with delayed presentation (>10 days) and traumatic/repeat lumbar punctures. Xanthochromia was assessed on a UVIKON XS spectrophotometer using Bio-C software (NorthStar Scientific, Bedfordshire, UK). We determined Hb concentration using the Beer-Lambert equation, using the net Hb absorbance at 415nm and an extinction coefficient of 141.2 ²⁷.

Statistics

Statistical analyses were conducted in R and SPSS v22. For all studies, two-tailed hypotheses were tested with alpha = 0.05. Detailed statistical methods are in the online supplementary methods.

Results

GOSH study cohort

GOSH was a study of long-term outcome in SAH survivors, since patients were assessed after recovery from the acute phase of SAH, with a median time from ictus of 18 months, up to 8 years. A STROBE diagram for the GOSH study participants used in this work is shown in Figure 2. The demographic and clinical characteristics of the GOSH cohort are shown in Table 1 and Supplementary Figure 1.

We considered three essential points to ensure our conclusions are valid. First, because of a potential selection bias toward survivors or those with better functional outcomes in the GOSH study we compared *HP* genotype frequencies in GOSH *versus* a young adult control population (with minimal bias as a result of disease, country of origin, sex and healthcare) from a subset of the ALSPAC (Avon Longitudinal Study of Parents and Children) study, previously genotyped for the *HP* CNV and rs2000999 (n=927). *HP* CNV and rs2000999 genotype frequencies in GOSH were as expected, when compared to ALSPAC ($\chi^2=2.19$, $p=0.33$ and $\chi^2=0.39$, $p=0.82$, respectively, Supplementary Table 1). Sex was significantly different between GOSH *versus* ALSPAC (70% *versus* 51% for females respectively, $\chi^2=81.15$, $p<0.0001$), but there was no sex difference in the *HP* CNV and rs2000999 genotype frequencies in the ALSPAC cohort ($\chi^2=1.39$, $p=0.50$ and $\chi^2=2.31$, $p=0.32$, respectively).

Second, although the *HP* CNV and rs2000999 are reported to influence haptoglobin expression levels in other ethnic groups^{17 28 29}, we confirmed this in a subset of the ALSPAC study in whom the *HP* CNV, rs2000999 and plasma haptoglobin concentration were all available (n=325). In multivariable linear regression, the HP2 allele and rs2000999 A allele were both associated with a similar decrease in plasma haptoglobin of 0.21 and 0.16 g/L respectively (Supplementary Table 2).

Third, since the clinical dataset sample size was smaller (n=907) compared to the whole GOSH cohort (n=1299) (Table 1), we searched for evidence of bias within the GOSH population with clinical data. There was no missingness of any genotype compared to ALSPAC within these 907 patients (*HP* CNV: $\chi^2=1.262$, $p=0.53$ and rs 2000999: $\chi^2=0.228$, $p=0.89$, respectively). Moreover the demographic and clinical characteristics of the GOSH participants with available clinical data were similar to those of the whole GOSH cohort (Table 1).

HP genotype and long-term outcome

Next, we investigated the effect of *HP* genotype on favourable functional outcome (defined as modified Rankin scale 0-1) using multivariable logistic regression (Table 2). Favourable outcome was predicted by lower aSAH severity assessed by the clinical World Federation of Neurosurgical Societies score, lower haemorrhage burden as assessed by Fisher category (grades I-II), coiling *versus* clipping, and absence of hydrocephalus, diabetes, hypercholesterolaemia and non-SAH related neurological disability, but not rs2000999.

There was a strong interaction between the haemorrhage volume (Fisher category) and the *HP* CNV (Tables 2&3, Figure 3A). *HP* CNV predicted long-term outcome in high Fisher category patients (HP2-2 *versus* HP1-1, Odds ratio of favourable outcome = 2.6, 95% CI 1.4-4.9, $p = 0.003$), but not low Fisher category patients (Odds ratio = 2.0, 95% CI 0.71-5.6, $p = 0.194$). On the other hand, the Fisher category predicted long-term outcome in HP1-1, but not in HP2-2 patients (Tables 2&3, Figure 3A). In essence, the poor prognostic effect of a high Fisher category was attenuated by HP2-2, while the Fisher category effect dominated in patients with HP1-1.

There was no evidence of missingness within high or low Fisher category groups that could have biased the results, as shown by several analyses: (1) *HP* CNV genotype frequency was not significantly different between low and high Fisher category groups ($\chi^2=1.112$, $p=0.57$); (2) *HP* CNV genotype frequency in the high and low Fisher category groups was not significantly different from the ALSPAC control cohort ($\chi^2=1.685$, $p=0.43$ and $\chi^2=0.794$, $p=0.67$ respectively); (3) *HP* genotype frequency of patients excluded from the regression due to data availability was not significantly different from that of the included patients (*HP* CNV: $\chi^2=0.378$, $p=0.97$ and rs 2000999: $\chi^2=0.288$, $p=0.87$, respectively) or the ALSPAC control cohort (*HP* CNV: $\chi^2=2.181$, $p=0.34$ and rs 2000999: $\chi^2=0.562$, $p=0.76$, respectively).

Sensitivity analyses

A similar pattern was confirmed in five sensitivity analyses: (1) using the Glasgow Outcome Scale³⁰ (Figure 3B); (2) using an alternative dichotomization of the modified Rankin scale, with a favourable outcome defined as 0-2 (Figure 3C); (3) using non-dichotomized Fisher grade (Supplementary Figure 2); (4) using multiple imputation on the whole GOSH cohort (Supplementary Table 4); and (5) analyses across decreasing follow-up intervals (Table 4). The finding that the HP2 allele predicted long-term outcome in high Fisher category patients

was robust to decreasing follow-up time intervals, except at one year. This was not due to smaller sample sizes since the 3-8 epoch had a similar sample size to the ≤ 1 year epoch.

Biochemical studies

Although the *HP* CNV and rs2000999 affect haptoglobin expression level to a similar extent¹⁸, only the *HP* CNV associated with outcome after aSAH, suggesting that functional differences between Hp1-1 and Hp2-2 proteins, perhaps relating to Hb scavenging rather than expression, are likely to be more important. Hence we measured haemoglobin-haptoglobin complexes in serial CSF samples taken from an external ventricular drain after high-grade aSAH (Fisher grade III-IV, n=44, Supplementary Table 3), using ultra-performance size-exclusion liquid chromatography coupled with absorption detection at 415nm. The patients' *HP* CNV status was: HP1-1=9, HP2-1=19, HP2-2=16. All samples contained haemoglobin-haptoglobin complexes, in keeping with saturation of membrane CD163 binding sites in the brain after aSAH, as previously reported³. The CSF concentration of haemoglobin-haptoglobin complexes was compared across *HP* CNV types using ANOVA, and was lower in HP2-2 patients than those with HP1-1 (Figure 4A). In an analysis of covariance of CSF haemoglobin-haptoglobin complex concentration across *HP* CNV genotype, controlling for age, sex, clot volume, and CSF/serum albumin quotient, the *HP* CNV genotype was the dominant determinant, explaining 50% of variance in CSF haemoglobin-haptoglobin complex concentration, out of a total of 57% by the whole model (p=0.001, Figure 4B).

The effect of the *HP* CNV on long-term outcome varied with the volume of aSAH. It is known that haptoglobin in the CSF is present at very low concentrations in both healthy controls and after aSAH, such that after high-grade aSAH, haptoglobin is saturated with Hb³. We confirmed this observation in our patients; median CSF haptoglobin was 0.29 μ M (interquartile range: 0.11-0.58 μ M, expressed as Hb dimer binding capacity) and it was fully saturated with Hb. The low haptoglobin concentration in the CSF has a potential to set up a situation where the system could operate differently depending on Hb concentration. After low-volume aSAH, Hb concentration may be low such that there is sufficient haptoglobin to bind all the Hb, while after high-volume aSAH, the system may be overwhelmed. Ideally one would study haptoglobin saturation with Hb in the CSF from high and low Fisher aSAH patients. However it was challenging to prospectively identify CSF samples from Fisher I-II aSAH patients, since CSF drainage has no place in their clinical management. Nevertheless, we were able to study retrospective data from Fisher I-II aSAH cases referred for

spectrophotometric testing for xanthochromia (median days post-ictus = 2 days, interquartile range 1-3 days, Supplementary Table 3). The median Hb concentration in the CSF of patients with Fisher III-IV aSAH was 2.58 μ M (interquartile range: 1.07-13.5 μ M, n=44), i.e. well above the 0.29 μ M Hb-binding capacity of Hp. In the CSF of patients with Fisher I-II aSAH, the mean Hb CSF concentration was 0.053 μ M (0.032-0.189 μ M, n=8), i.e. well below 0.29 μ M ($p < 0.001$, Figure 4C). These findings provide a potential explanation for the observation that the HP2-2 genotype is only protective after high-volume aSAH.

Discussion

This is the largest study of HP genotype and outcome after SAH, and provides a number of novel insights. The *HP* allele does not associate with outcome after aSAH if this is measured early after aSAH, within the first year¹⁰. We argue that the *HP* influence on outcome is overshadowed by the effect of early brain injury on outcome in the first year after aSAH, i.e. it takes longer than previously thought for early brain injury effect to settle. In support of this interpretation, we show that the HP2 allele's association with good functional outcome was only detectable two years or more after aSAH (Table 4).

We found that after low-volume aSAH, CSF Hb concentration was within the Hb-binding capacity of CSF haptoglobin, while it exceeded this concentration in high-volume patients. Hence high-volume patients have unbound Hb available to impact on outcome, so that functional differences between HP genotypes makes a difference after high-volume aSAH.

Collectively, these data suggest that the association of the HP2 allele on long-term outcome after aSAH depends on the haemorrhage burden (Fisher category) and Hb concentration in the CSF. In the presence of high CSF Hb concentration, the HP2 allele is superior to the HP1 allele, being associated with lower haemoglobin-haptoglobin complexes in the CSF and a better functional outcome. At low haemorrhage burden and CSF Hb concentration, the *HP* CNV does not associate with long-term outcome. That the differential clinical effect of the *HP* CNV is mediated via mechanisms other than haptoglobin expression level is supported by the fact that while both the *HP* CNV and rs2000999 associate with haptoglobin expression, only the *HP* CNV is linked to long-term outcome. A recent study has found that lumbar CSF drainage improves outcome in high but not low modified Fisher grade patients³¹, which resonates with our findings here.

There is conflicting evidence in the literature regarding the relative efficacy of haptoglobin types in CD163-mediated cellular uptake of haemoglobin-haptoglobin complexes. Although one study suggested that haemoglobin-haptoglobin complex uptake is better with Hp1-1¹², two subsequent studies have reported that Hp2-2 is better^{13 14} which would be in keeping with the results from biochemical binding studies^{1 12}. Although the differences between these *in vitro* studies may be due to experimental technicalities, the conflicting results suggest that the difference between the two alleles may not be marked. However it is possible that a subtle difference between HP1 and HP2 allele protein products is amplified in the brain where the low CD163 expression level is a limiting factor in Hb scavenging^{3 32}. The low haemoglobin-haptoglobin complex concentration in the CSF of HP2 carriers could be due to lower haptoglobin expression in the CSF, as would be expected for the HP2 allele. However lower CSF haptoglobin levels in HP2 carriers would carry a worse outcome after SAH, not a better one. Also rs2000999 did not associate with outcome. It is therefore more likely that haemoglobin-haptoglobin complex scavenging after high-grade SAH is better in HP2 carriers, *versus* HP1. The higher valency of Hp2-containing complexes likely improves clustering of CD163 receptors³³. The larger size of the Hb-Hp2-2 complexes (compared to the smaller Hb-Hp1-1 complexes), may also prevent their entry into the brain parenchyma, thereby reducing neurotoxicity. These explanations need further careful study.

The association of the HP2 allele with good long-term outcome in high Fisher grade patients is in contrast to the findings from a mouse model of SAH where HP2-2-transgenic animals had a worse outcome compared to HP1-1 wild-type mice³⁴. It is important to bear in mind that there are marked differences in the biochemistry of Hb scavenging between mouse and man. In particular, the haptoglobin receptor CD163 has a higher affinity for haemoglobin-haptoglobin complexes in man, but not in mice³⁵. Also, human CD163 is cleaved during inflammation, releasing soluble CD163, but this does not happen with mouse CD163³⁶. For these two reasons, differences in haptoglobin types with respect to CD163 binding are more likely to be important in humans than in mice.

In conclusion, in patients with aSAH who have a high haemorrhage burden, the HP2 allele is associated with favourable long-term functional outcome, possibly via improved haemoglobin-haptoglobin complex clearance. Our findings suggest that preclinical trials of haptoglobin supplementation should consider testing Hp1-1 *versus* Hp2-2. Also, the *HP* CNV genotype and its interaction with Fisher grade should be considered when designing prognostic algorithms and clinical trials in aSAH.

Acknowledgements

We are extremely grateful to all the families who took part in this study, the midwives for their help in recruiting them, and the whole ALSPAC and GOSH teams, which includes interviewers, computer and laboratory technicians, clerical workers, research scientists, volunteers, managers, receptionists and nurses.

Funding

The GOSH study was funded by The Stroke Association and supported by the National Institute of Health Research (NIHR) Stroke Research Network. This research was also funded by UK MRC grant MR/L01453X/1 (MM, IG) and by Cancer Research UK program grant C18281/A19169 (NK). TG receives funding from the UK MRC (MRC Integrative Epidemiology Unit, MC_UU_00011/4). The UK Medical Research Council (MRC) and Wellcome Trust (Grant ref: 102215/2/13/2) and the University of Bristol provide core support for ALSPAC. GWAS data was generated by Sample Logistics and Genotyping Facilities at Wellcome Sanger Institute and LabCorp (Laboratory Corporation of America) using support from 23andMe. A comprehensive list of grant funding is available on the ALSPAC website (<http://www.bristol.ac.uk/alspac/external/documents/grant-acknowledgements.pdf>). This publication is the work of the authors who will serve as guarantors for the contents of this paper.

Author contributions

Concept: DJW, IG. Design: MJM, NK, TG, ICH, DB, DJW, IG. Data contributors: DJW, DB, all GOSH investigators and ALSPAC. Analysis: MJM, NK, ICH, IG. Manuscript: all authors.

Competing Interests:

Nothing to report.

References

1. Kristiansen M, Graversen JH, Jacobsen C, et al. Identification of the haemoglobin scavenger receptor. *Nature* 2001;409(6817):198-201.
2. Bulters D, Gaastra B, Zolnourian A, et al. Haemoglobin scavenging in intracranial bleeding: biology and clinical implications. *Nature Reviews Neurology* 2018;14(7):416-32.
3. Galea J, Cruickshank G, Teeling JL, et al. The intrathecal CD163-haptoglobin-hemoglobin scavenging system in subarachnoid hemorrhage. *J Neurochem* 2012;121(5):785-92.
4. Larsson M, Cheng T-M, Chen C-Y, et al. Unique Assembly Structure of Human Haptoglobin Phenotypes 1-1, 2-1, and 2-2 and a Predominant Hp 1 Allele Hypothesis. In: Janciauskiene S, ed. *Acute Phase Proteins*. Rijeka: InTech 2013:Ch. 7.
5. Borsody M, Burke A, Coplin W, et al. Haptoglobin and the development of cerebral artery vasospasm after subarachnoid hemorrhage. *Neurology* 2006;66(5):634-40.
6. Galea J, Cruickshank G, Teeling JL, et al. The intrathecal CD163-haptoglobin-hemoglobin scavenging system in subarachnoid hemorrhage. *Journal of neurochemistry* 2012;121(5):785-92.
7. Kantor E, Bayir H, Ren D, et al. Haptoglobin genotype and functional outcome after aneurysmal subarachnoid hemorrhage. *Journal of neurosurgery* 2014;120(2):386-90.
8. Leclerc JL, Blackburn S, Neal D, et al. Haptoglobin phenotype predicts the development of focal and global cerebral vasospasm and may influence outcomes after aneurysmal subarachnoid hemorrhage. *Proceedings of the National Academy of Sciences of the United States of America* 2015;112(4):1155-60.
9. Ohnishi H, Iihara K, Kaku Y, et al. Haptoglobin phenotype predicts cerebral vasospasm and clinical deterioration after aneurysmal subarachnoid hemorrhage. *Journal of stroke and cerebrovascular diseases : the official journal of National Stroke Association* 2013;22(4):520-6.
10. Gaastra B, Ren D, Alexander S, et al. Haptoglobin genotype and aneurysmal subarachnoid hemorrhage: Individual patient data analysis. *Neurology* 2019.
11. Gaastra B, Glazier J, Bulters D, et al. Haptoglobin Genotype and Outcome after Subarachnoid Haemorrhage: New Insights from a Meta-Analysis. *Oxid Med Cell Longev* 2017;2017:6747940.
12. Asleh R, Marsh S, Shilkrot M, et al. Genetically determined heterogeneity in hemoglobin scavenging and susceptibility to diabetic cardiovascular disease. *Circulation research* 2003;92(11):1193-200.
13. Lipiski M, Deuel JW, Baek JH, et al. Human Hp1-1 and Hp2-2 phenotype-specific haptoglobin therapeutics are both effective in vitro and in guinea pigs to attenuate hemoglobin toxicity. *Antioxid Redox Signal* 2013;19(14):1619-33.
14. Na N, Ouyang J, Taes YE, et al. Serum free hemoglobin concentrations in healthy individuals are related to haptoglobin type. *Clin Chem* 2005;51(9):1754-5.
15. Hostettler IC, Alg VS, Shahi N, et al. Characteristics of Unruptured Compared to Ruptured Intracranial Aneurysms: A Multicenter Case–Control Study. *Neurosurgery* 2017;nyx365-nyx65.
16. Boettger LM, Salem RM, Handsaker RE, et al. Recurring exon deletions in the HP (haptoglobin) gene contribute to lower blood cholesterol levels. *Nature Genetics* 2016;48:359.
17. Froguel P, Ndiaye NC, Bonnefond A, et al. A Genome-Wide Association Study Identifies rs2000999 as a Strong Genetic Determinant of Circulating Haptoglobin Levels. *Plos One* 2012;7(3):e32327.
18. Kazmi N, Koda Y, Ndiaye NC, et al. Genetic determinants of circulating haptoglobin concentration. *Clinica Chimica Acta* 2019;494:138-42.

19. Farrell B, Godwin J, Richards S, et al. The United Kingdom transient ischaemic attack (UK-TIA) aspirin trial: final results. *J Neurol Neurosurg Psychiatry* 1991;54(12):1044-54.
20. Rankin J. Cerebral vascular accidents in patients over the age of 60. II. Prognosis. *Scott Med J* 1957;2(5):200-15.
21. Quinn TJ, Dawson J, Walters MR, et al. Reliability of the modified Rankin Scale: a systematic review. *Stroke* 2009;40(10):3393-5.
22. Teasdale GM, Drake CG, Hunt W, et al. A universal subarachnoid hemorrhage scale: report of a committee of the World Federation of Neurosurgical Societies. *J Neurol Neurosurg Psychiatry* 1988;51(11):1457.
23. Fisher CM, Kistler JP, Davis JM. Relation of cerebral vasospasm to subarachnoid hemorrhage visualized by computerized tomographic scanning. *Neurosurgery* 1980;6(1):1-9.
24. Boyd A, Golding J, Macleod J, et al. Cohort Profile: the 'children of the 90s'--the index offspring of the Avon Longitudinal Study of Parents and Children. *Int J Epidemiol* 2013;42(1):111-27.
25. Fraser A, Macdonald-Wallis C, Tilling K, et al. Cohort Profile: the Avon Longitudinal Study of Parents and Children: ALSPAC mothers cohort. *Int J Epidemiol* 2013;42(1):97-110.
26. Delanghe J, Allcock K, Langlois M, et al. Fast determination of haptoglobin phenotype and calculation of hemoglobin binding capacity using high pressure gel permeation chromatography. *Clinica Chimica Acta* 2000;291(1):43-51.
27. Meng F, Alayash AI. Determination of extinction coefficients of human hemoglobin in various redox states. *Analytical Biochemistry* 2017;521:11-19.
28. Bjornsson E, Helgason H, Halldorsson G, et al. A rare splice donor mutation in the haptoglobin gene associates with blood lipid levels and coronary artery disease. *Human Molecular Genetics* 2017;26(12):2364-76.
29. Soejima M, Sagata N, Komatsu N, et al. Genetic factors associated with serum haptoglobin level in a Japanese population. *Clinica Chimica Acta* 2014;433:54-57.
30. Jennett B, Bond M. Assessment of outcome after severe brain damage. *Lancet* 1975;1(7905):480-4.
31. Fang Y, Shao Y, Lu J, et al. The effectiveness of lumbar cerebrospinal fluid drainage in aneurysmal subarachnoid hemorrhage with different bleeding amounts. *Neurosurg Rev* 2019.
32. Durnford A, Dunbar J, Galea J, et al. Haemoglobin scavenging after subarachnoid haemorrhage. *Acta Neurochir Suppl* 2015;120:51-4.
33. Andersen CB, Torvund-Jensen M, Nielsen MJ, et al. Structure of the haptoglobin-haemoglobin complex. *Nature* 2012;489(7416):456-9.
34. Chaichana KL, Levy AP, Miller-Lotan R, et al. Haptoglobin 2-2 genotype determines chronic vasospasm after experimental subarachnoid hemorrhage. *Stroke* 2007;38(12):3266-71.
35. Etzerodt A, Kjolby M, Nielsen MJ, et al. Plasma clearance of hemoglobin and haptoglobin in mice and effect of CD163 gene targeting disruption. *Antioxid Redox Signal* 2013;18(17):2254-63.
36. Etzerodt A, Rasmussen MR, Svendsen P, et al. Structural basis for inflammation-driven shedding of CD163 ectodomain and tumor necrosis factor- α in macrophages. *J Biol Chem* 2014;289(2):778-88.

Tables

Table 1. GOSH demographics and clinical characteristics: whole cohort^a and clinical outcome dataset^b. Notes: Mean & range^c, number and %^d, median & range^c, % reported is of available data^a or of total data^b, NA: DNA not available.

	Entire aSAH cohort ^a	Missingness analysis ^a	Outcome analysis ^b
Number	1729	1299	907
Age (years)^c	53.2 (12-92)	53 (16-92)	53 (19-92)
Sex^d			
Male	514 (29.7%)	385 (30%)	261 (29%)
female	1215 (70.3%)	914 (70%)	646 (71%)
WFNS^d			
1	950 (57.2%)	711 (56.9%)	509 (56%)
2	364 (21.9%)	278 (22.3%)	210 (23.2%)
3	71 (4.3%)	54 (4.3%)	45 (5%)
4	171 (10.3%)	129 (10.3%)	86 (9.5%)
5	104 (6.3%)	77 (6.2%)	57 (6.3%)
Fisher grade^d			
1	139 (8.9%)	94 (8.0%)	74 (8.1%)
2	466 (29.9%)	363 (30.8%)	280 (30.9%)
3	347 (22.3%)	266 (22.5%)	206 (22.7%)
4	607 (38.9%)	457 (38.7%)	347 (38.3%)
Hydrocephalus^d			
Present	608 (35.2%)	459 (35%)	324 (36%)
Absent	1121 (64.8%)	840 (65%)	583 (64%)
Aneurysmal management^d			
Coiled	1367 (79.1%)	991 (78%)	720 (79%)
Clipped	297 (17.2%)	265 (21%)	180 (20%)
Supportive	65 (3.7%)	14 (1%)	7 (1%)
Aneurysm location^d			
Anterior circulation	1411 (81.61%)	1087 (84%)	774 (85%)
Posterior circulation	211 (12.2%)	177 (14%)	126 (14%)
Not classified	107 (6.19%)	35 (3%)	7 (1%)
Nimodipine^d			
Administered	1612 (93.2%)	1211 (93%)	870 (96%)
Not administered	117 (6.8%)	88 (7%)	37 (4%)
Time since ictus (months)^c	15 (0-519)	18 (0-519)	17 (0-96)
Hypertension^d			

Present	542 (31.4%)	383 (29%)	274 (30%)
Absent	1187 (68.7%)	916 (71%)	633 (70%)
Diabetes mellitus^d			
Present	69 (4%)	53 (4%)	34 (4%)
Absent	1660 (96%)	1246 (96%)	873 (96%)
Smoking (pack-years) ^c	20.9 (0-137)	17 (0-137)	17 (0-137)
Hypercholesterolemia^d			
Present	350 (20.2%)	262 (20%)	196 (22%)
Absent	1379 (79.8%)	1026 (80%)	711 (78%)
Other disability^d			
Present	116 (7%)	92 (7%)	64 (7%)
Absent	1151 (93%)	1146 (93%)	843 (93%)
<i>HP</i> CNV genotype^a			
HP1-1	NA	205 (16%)	142 (16%)
HP2-1	NA	612 (47%)	424 (47%)
HP2-2	NA	481 (37%)	341 (37%)
rs2000999 genotype^a			
AA	NA	57 (5%)	39 (4%)
AG	NA	379 (29%)	270 (30%)
GG	NA	854 (66%)	598 (66%)

Table 2. Logistic regression model for primary outcome (favourable mRS 0-1). Logistic regression model fit was excellent (log-likelihood chi-squared test $p < 10^{-27}$; Hosmer & Lemeshow test $p = 0.305$). The model explained 32% of the variance in functional outcome. WFNS = World Federation of Neurosurgical Societies; OR = Odds ratio; CI = confidence interval.

	P (overall effect)	OR	95% CI		Contrast (vs reference)	P (contrast)
Age	0.490	0.995	0.980	1.010		
Sex	0.446	1.156	0.797	1.677	Female (vs male)	
WFNS	<0.001	4.787	2.404	9.531	WFNS 1 (vs 5)	<0.001
Hydrocephalus	<0.001	2.004	1.386	2.897	Absent (vs present)	<0.001
Aneurysmal treatment	0.005	1.817	1.194	2.763	Coiling vs clipping	0.014
Nimodipine	0.111	1.914	0.862	4.249	Given vs not given	
Followup time	0.121	1.007	0.998	1.015		
Centre	<0.001					<0.001
Hypertension	0.761	0.951	0.650	1.392	Absent (vs present)	
Diabetes	0.035	2.529	1.068	5.986	Absent (vs present)	
Smoking (pack-years)	0.441	1.003	0.995	1.012		
Hypercholesterolemia	0.023	1.636	1.070	2.502	Absent (vs present)	
Non-aSAH related disability	<0.001	5.536	2.984	10.271	Absent (vs present)	
rs2000999	0.359	1.469	0.646	3.341	GG vs AA	0.154
Fisher x HP	0.013					
Fisher	0.009	4.105	1.428	11.806	Low vs high Fisher in HP1-1	
HP	0.011	2.602	1.381	4.904	HP2-2 vs HP1-1 at high Fisher	0.003

Table 3. The effects of haemorrhage burden (Fisher category) and *HP* CNV on favourable outcome (mRS 0-1) are mutually dependent.

The impact of *HP* CNV on favourable outcome (mRS 0-1) depends on Fisher grade

	n (HP1-1 <i>versus</i> HP2-2)	OR	95% C.I.		p
Low Fisher (I-II)	181 (52 <i>vs</i> 129)	1.991	0.705	5.628	0.194
High Fisher (III-IV)	302 (90 <i>vs</i> 212)	0.384	0.204	0.724	0.003

	n (HP2-1 <i>versus</i> HP2-2)	OR	95% C.I.		p
Low Fisher (I-II)	302 (173 <i>vs</i> 129)	1.433	0.771	2.665	0.255
High Fisher (III-IV)	463 (251 <i>vs</i> 212)	0.660	0.418	1.043	0.075

	n (HP2-1 <i>versus</i> HP1-1)	OR	95% C.I.	p	
Low Fisher (I-II)	225 (173 <i>vs</i> 52)	0.502	0.178	1.419	0.194
High Fisher (III-IV)	341 (251 <i>vs</i> 90)	1.718	0.951	3.102	0.073

The impact of Fisher grade on favourable outcome (mRS 0-1) depends on the *HP* CNV

	n (low <i>versus</i> high Fisher)	OR	95% C.I.		p
HP1-1	142 (52 <i>vs</i> 90)	4.105	1.428	11.806	0.009
HP2-2	341 (129 <i>vs</i> 212)	1.262	0.703	2.265	0.435

Table 4. Sensitivity analysis at different follow-up intervals. Findings are largely robust, except at shorter follow-up of one year or less.

A. For mRS 0-1

Sample size	Time since ictus	<i>HP CNV</i> ¹	<i>HP CNV</i> ¹	rs2000999 ²
		Low Fisher grade	High Fisher grade	
OR, 95% CI, p value				
907	≤ 8 years	0.5, 0.2-1.4, 0.194	2.6, 1.4-4.9, 0.003	NS, p = 0.359
863	≤ 6 years	0.4, 0.1-1.2, 0.106	2.8, 1.5-5.4, 0.002	NS, p = 0.263
776	≤ 4 years	0.5, 0.2-1.5, 0.193	2.8, 1.4-5.4, 0.003	NS, p = 0.258
575	≤ 2 years	0.5, 0.2-1.7, 0.258	2.0, 1.1-3.4, 0.100	NS, p = 0.807
349	≤ 1 year	0.5, 0.1-2.4, 0.367	1.6, 0.5-5.1, 0.415	NS, p = 0.967
204	≤ 6 months	0.2, 0.02-1.5, 0.114	0.8, 0.1-4.8, 0.777	NS, p = 0.929
87	≤ 3 months	NS, p = 1.0	NS, p = 1.0	NS, p = 1.0
332	3-8 years	0.4, 0.04-4.3, 0.404	4.4, 1.3-14.4, 0.014	NS, p = 0.215

B. For GOS 5

Sample size	Time since ictus	<i>HP CNV</i> ¹	<i>HP CNV</i> ¹	rs2000999 ²
		Low Fisher grade	High Fisher grade	
OR, 95% CI, p value				
907	≤ 8 years	0.3, 0.1-1.0, 0.045	2.7, 1.4-5.3, 0.003	NS, p = 0.415
863	≤ 6 years	0.3, 0.1-1.0, 0.047	2.8, 1.4-5.5, 0.002	NS, p = 0.472
776	≤ 4 years	0.3, 0.1-1.3, 0.119	2.9, 1.4-5.9, 0.003	NS, p = 0.532
575	≤ 2 years	0.3, 0.1-1.4, 0.132	2.8, 1.2-6.4, 0.019	NS, p = 0.627
349	≤ 1 year	0.4, 0.1-2.7, 0.370	2.8, 0.7-10.6, 0.128	NS, p = 0.856
204	≤ 6 months	0.09, 0.01-1.5, 0.91	6.4, 0.5-87, 0.165	NS, p = 0.677
87	≤ 3 months	NS, p = 1.0	NS, p = 1.0	NS, p = 1.0
332	3-8 years	0.0, 0.0-0.0, 0.998	4.0, 1.1-15.1, 0.039	NS, p = 0.512

¹ HP2-2 *versus* HP1-1, for favourable outcome

² rs2000999 G *versus* A, for favourable outcome

Figures

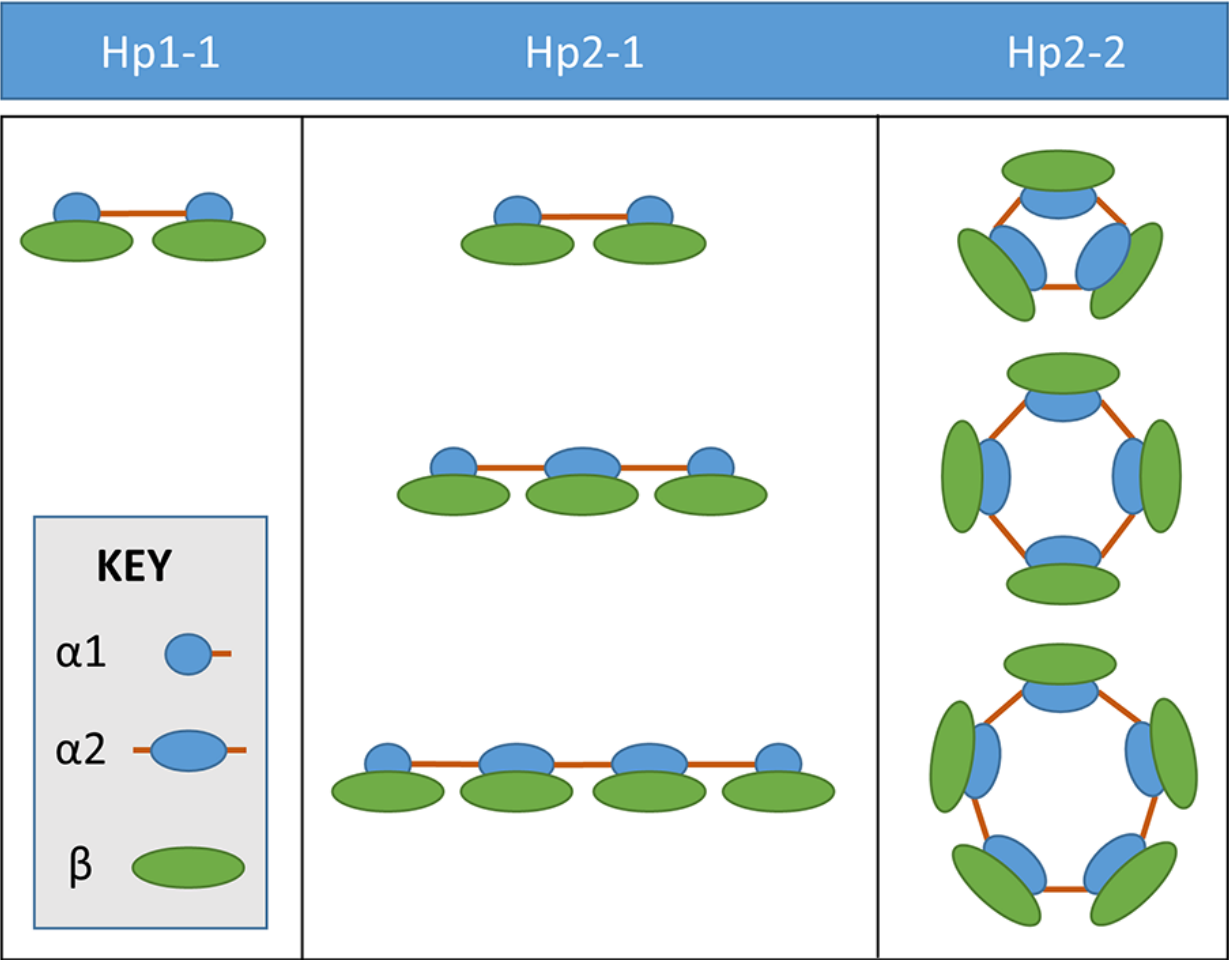


Figure 1. Haptoglobin types: Hp1-1, Hp2-1 and Hp2-2. The *HP* gene codes for the α and β chain of Hp. Two codominant *HP* alleles exist: HP1 and HP2; the α chain coding region is duplicated in the HP2 allele, so this is a copy number variant (CNV). Three possible *HP* CNV genotypes: HP1-1, HP2-1 and HP2-2, generate three types of haptoglobin polymers, Hp1-1, Hp2-1 and Hp2-2.

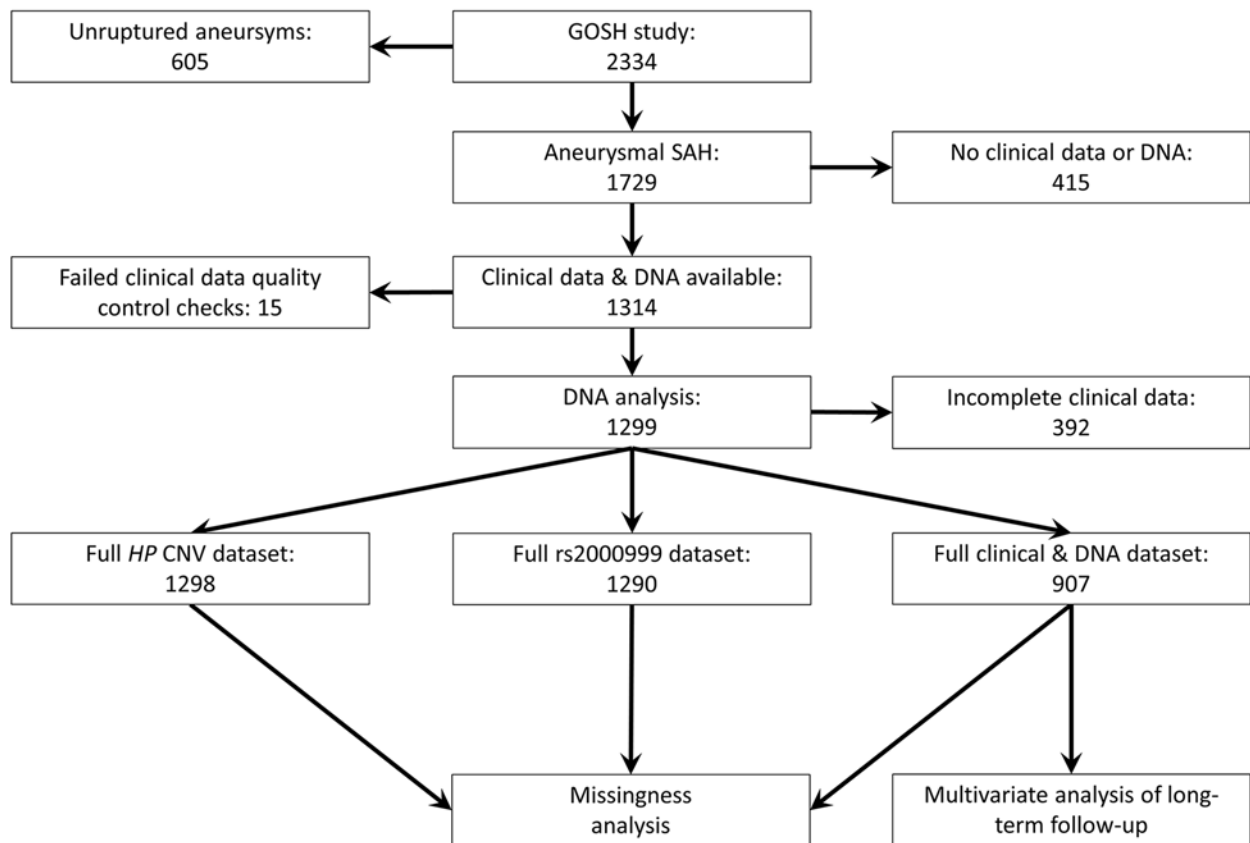


Figure 2. STROBE diagram

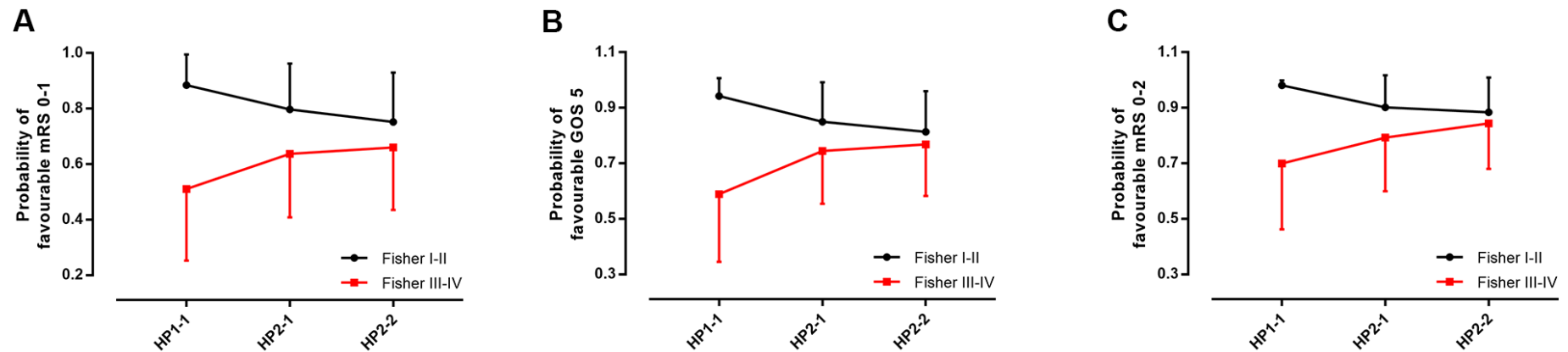


Figure 3. *HP* association with outcome after aSAH depends on clot volume. **A:** The mean predicted probability of favourable outcome (mRS: 0-1) \pm standard deviation, by *HP* CNV and Fisher category. The *HP* CNV predicted long-term outcome in high Fisher category patients (HP2-2 *versus* HP1-1, Odds ratio (OR) of favourable outcome = 2.6, 95% CI 1.4-4.9, $p = 0.007$). In the low Fisher category, there is a trend suggesting that the reverse might be happening (i.e. that HP1-1 confers a favourable outcome *versus* HP2-2), but this was not significant (OR=2.0, 95% CI 0.71-5.6, $p = 0.194$), despite the lower standard deviations in the low Fisher category. **B:** The mean predicted probability of favourable outcome (GOS: 5) \pm standard deviation, by *HP* CNV and Fisher category. At high Fisher grade: $p=0.003$, OR=2.74 (95% CI: 1.4-5.3) for HP2-2 *versus* HP1-1. At low Fisher grade: $p=0.045$, OR=0.26 (95% CI: 0.07-0.97) for HP2-2 *versus* HP1-1. **C:** The mean predicted probability of favourable outcome (mRS: 0-2) \pm standard deviation, by *HP* CNV and Fisher category. At high Fisher: $p=0.002$, OR=3.26 (95% CI: 1.5-6.9) for HP2-2 *versus* HP1-1. At low Fisher: $p=0.149$, OR=0.211 (95% CI: 0.03-1.7) for HP2-2 *versus* HP1-1.

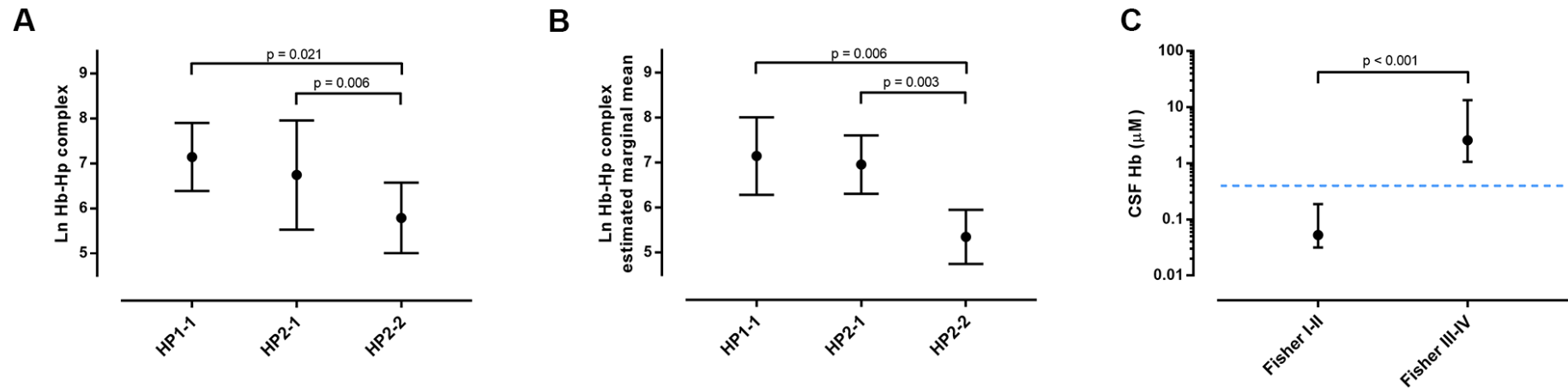


Figure 4. Haptoglobin-haemoglobin complex scavenging in CSF varies with the *HP* CNV in high-grade aSAH. **A.** ANOVA of CSF haemoglobin-haptoglobin complex concentration across *HP* CNV types (n=44, p=0.003, F=6.58, df=43). Post-hoc group comparisons were performed using Bonferroni adjustment. Plot shows means \pm standard deviation. **B.** ANCOVA of CSF haemoglobin-haptoglobin complex concentration across *HP* CNV types controlling for age, clot volume, CSF/serum albumin quotient and sex (n=19, p=0.006 and partial eta squared=0.566 for model). We performed group comparisons with Bonferroni adjustment. The plot shows estimated marginal means \pm 95% confidence intervals. **C.** CSF Hb concentration in Fisher grade I-II (n=8) and III-IV (n=44). Plot shows medians \pm interquartile range. Mann-Whitney U test. Dotted line represents the Hb-binding capacity of haptoglobin in CSF.

Supplementary Methods for:

Haptoglobin genotype and outcome after aneurysmal subarachnoid haemorrhage

Matthew J Morton PhD^{1#}, Isabel C Hostettler MD^{2#}, Nabila Kazmi PhD^{3#}, Varinder Alg MBBS², Stephen Bonner PhD⁴, Martin M Brown FRCP², Andrew Durnford MBBS⁵, Ben Gaastra MBBS⁵, Patrick Garland PhD¹, Joan Grieve MD⁶, Neil Kitchen PhD⁶, Daniel Walsh PhD⁷, Ardalan Zolnourian MBBS⁵, Henry Houlden PhD⁸, Tom R Gaunt PhD³, Diederik Bulters FRCS⁵, David J Werring PhD, FRCP^{2\$}, Ian Galea PhD, FRCP^{1\$*} on behalf of the Genetics and Observational Subarachnoid Haemorrhage (GOSH) Study investigators

joint first authorship

\$ joint senior authorship

* corresponding author

¹ Clinical Neurosciences, Clinical & Experimental Sciences, Faculty of Medicine, University of Southampton, UK

² Stroke Research Centre, UCL Queen Square Institute of Neurology, University College London, London, UK

³ MRC Integrative Epidemiology Unit (IEU), Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, UK

⁴ Department of Anaesthesia, The James Cook University Hospital, Middlesbrough, UK

⁵ Wessex Neurological Centre, University Hospital Southampton NHS Foundation Trust, Southampton, UK

⁶ Department of Neurosurgery, The National Hospital of Neurology and Neurosurgery, London, UK

⁷ Department of Neurosurgery, King's College Hospital NHS Foundation Trust, London, UK

⁸ Neurogenetics Laboratory, The National Hospital of Neurology and Neurosurgery, London, UK

Subjects and Methods

GOSH study

Clinical data and DNA was collected from patients with aSAH enrolled in the GOSH study, designed to examine the genetic and clinical characteristics of patients with ruptured and unruptured intracranial aneurysms. The GOSH study recruited at 22 tertiary neurosurgical centres in the UK between 2011 and 2014. Written informed consent was obtained from participants, or next of kin if patients lacked capacity. Recruitment was from both inpatient and outpatient neurovascular clinics following either a new or previous diagnosis respectively; patients who died early after aSAH were not recruited. Standardized case report forms were completed by trained stroke research practitioners. The study was approved by the National Research Ethics Committee (NRES reference no: 09/H0716/54).

Outcomes, covariates & definitions

The primary outcome measure was the modified Rankin scale (mRS) at follow up, dichotomized into favourable (mRS 0-1) and unfavourable (mRS 2-6) outcomes, administered by qualified research practitioners at the time of assessment. The choice of this instrument and dichotomization threshold was based on data availability in this population of aSAH survivors. The modified version¹ of the Rankin Scale² was used throughout in a standardized way, ranging from 0 (no symptoms at all) to 5 (severe disability); mRS 6 (death) was added to include mortality³.

Covariates included age, sex, admission WFNS score⁴, admission Fisher grade⁵, hydrocephalus, aneurysmal treatment (coiling, clipping, or none), time since ictus, centre, smoking pack years, presence or absence of nimodipine treatment, diabetes mellitus, hypercholesterolaemia, hypertension, anti-hypertensive medication, and non-SAH related disability affecting the primary outcome measure. We defined hypertension, hypercholesterolaemia and diabetes mellitus as present if the patient or medical records indicated the condition for which either drug treatment, lifestyle, or other advice had been provided.

Control population

A sample of 927 individuals from the ALSPAC cohort^{6,7}, previously genotyped for the *HP* CNV (see below), was used as the control population. Plasma haptoglobin level was available for 325 of these individuals. It was measured using an immunoturbimetric haptoglobin assay (Cobas Integra kit catalogue number 03005593 322, Roche, USA) on a Hitachi Cobas c311 autoanalyser. In the ALSPAC study, pregnant women resident in Avon, UK with expected dates of delivery 1st April 1991 to 31st December 1992 were invited to take part in the study. Of the 15,247 pregnancies, there

were 14,899 children who were alive at 1 year of age. The ALSPAC study website (<http://www.bristol.ac.uk/alspac/researchers/our-data/>) contains details of all the data that is available through a fully searchable data dictionary and variable search tool. Ethical approval for the study was obtained from the ALSPAC Ethics and Law Committee and the Local Research Ethics Committees.

GOSH HP CNV genotyping

We performed *HP* CNV genotyping using a quantitative polymerase chain reaction (qPCR) method⁸. The assay amplified a region in the 5' terminal of the *HP* gene's first exon as an internal control (HP5'), and the breakpoint of the *HP* duplication (HP2). The HP2/HP5' ratio (theoretically either 0, 1, or 2) was used to determine the genotype as HP1-1, HP2-1 or HP2-2 respectively. Samples were run in triplicate, and triplicates with a HP2/HP5' ratio coefficient of variation >10% were re-assayed. A second method of *HP* genotyping by PCR⁹ was performed on samples with HP2/HP5' ratio values between 0.4619 and 0.6214, in order to confirm the *HP* CNV genotype. For quality control, we randomly selected 10% of samples not previously subject to this typing method and genotyped them via this method, comparing results to the qPCR technique. 98% concordance was observed; we repeated discordant samples using both assays and found them to be in agreement. There was only one failed genotype call, i.e. a 99.92 % call rate.

GOSH rs2000999 genotyping

rs2000999 has been shown to be associated with haptoglobin levels in the plasma and its level of expression in tissue, as exemplified by adipose tissue¹⁰. Since rs2000999 is downstream of the *HP* gene, its effect is probably mediated via linkage disequilibrium with upstream variations, such as rs35283911¹¹. We genotyped patients for rs2000999 status using Kompetitive Allele Specific PCR (KASP), a fluorescence resonant energy transfer (FRET) PCR based assay (LGC Genomics Limited, Hertfordshire, UK). Genotypes were called automatically by SNPviewer v4.0 (LGC Genomics Ltd., Hertfordshire, UK). We genotyped cases marked equivocal by the software (n=51) using a Taqman assay (C_11439054_10, ThermoFisher, USA). Cluster plots were viewed using Taqman genotyping software (v1.4, Applied Biosystems, USA) to call genotypes. For quality control, 30 other cases typed by the KASP assay and successfully called by SNPviewer were cross-checked with the Taqman assay and 100% concordance was observed. Nine out of 1299 samples failed to be called by both KASP and Taqman methods, resulting in a 99.31% call rate.

ALSPAC HP CNV genotyping

The HP CNV of ALSPAC children was typed using amplification ratio control system (ARCS), a validated liquid phase high-throughput assay for quantifying gene copy number ¹². Out of 1056 samples, 927 were successfully called (ie 87.8%).

ALSPAC rs2000999 genotyping

ALSPAC children were genotyped using the Illumina HumanHap550 quad chip genotyping platforms by 23andme subcontracting the Wellcome Trust Sanger Institute, Cambridge, UK and the Laboratory Corporation of America, Burlington, NC, US. The resulting raw genome-wide data were subjected to standard quality control methods. Individuals were excluded on the basis of sex mismatches; minimal or excessive heterozygosity; disproportionate levels of individual missingness (>3%) and insufficient sample replication (IBD < 0.8). Population stratification was assessed by multidimensional scaling analysis and compared with Hapmap II (release 22) European descent (CEU), Han Chinese, Japanese and Yoruba reference populations; all individuals with non-European ancestry were removed. rs2000999 genotype was extracted from this dataset.

Biochemistry – high Fisher grade aSAH

44 Fisher grade III-IV aSAH patients were recruited at the Southampton centre, after approval by the National Research Ethics Committee (reference no: 12/SC/0666). CSF was obtained from external ventricular drains (EVD) on alternate days from insertion and up to two weeks or until the EVD was removed. CSF was spun and frozen within one hour of sampling. We did not use CSF samples in the event of an EVD infection.

We performed haemoglobin-haptoglobin complex quantification, irrespective of oxidation state, using size exclusion ultra-performance liquid chromatography (UPLC) with absorbance measurement at 415nm. A 9 point Hb standard curve (0 to 1 mg/ml) was prepared from commercially-available lyophilized human Hb (Sigma) reconstituted to 1 g/L in diluent (9 g/L NaCl, 10 mM EDTA). The concentration of the standard Hb solution was verified independently by spectrophotometric quantification at 570 nm using a HemocueTM (Hemocue, Sweden). We determined accuracy of the standard curve to be 3.3% using a Hb control. 50µL of neat CSF was loaded onto the UPLC column using a running buffer consisting of 50 mM Tris and 150 mM NaCl, at pH 7.5. Bound and free Hb peaks' area under the curve was quantified against the Hb standard curve. We quality controlled each assay run using three haemoglobin-haptoglobin complex standards (200 µg/ml, 10 µg/ml and 1 µg/ml) covering the dynamic range of the assay. We determined haptoglobin phenotype using two methods: inspection of serum UPLC chromatograms ¹³ and non-denaturing Western blot using 1:5000 polyclonal rabbit anti-haptoglobin antibody (Sigma, Gillingham, Dorset, UK), with 100% concordance.

CSF/serum albumin ratio (Qalb) was determined after measurement of albumin in serum and CSF by rate nephelometry on an IMAGE Immunochemistry system (Beckman Coulter). Qalb was only measured on day 4 post-ictus onwards, to ensure reliability as a measure of blood-brain barrier permeability, since preliminary data (not shown) established that three days were required for plasma proteins derived from the bleed to be cleared from the intrathecal compartment. For this reason, Qalb was only available in 19 aSAH patients.

Biochemistry – low Fisher grade aSAH

CSF samples from 8 patients with aSAH Grade I-II were identified retrospectively during an ongoing service evaluation of lumbar puncture at the Southampton centre. We excluded cases with delayed presentation (>10 days) and traumatic/repeat lumbar punctures. Xanthochromia was assessed on a UVIKON XS spectrophotometer using Bio-C software (NorthStar Scientific, Bedfordshire, UK). We determined Hb concentration using the Beer-Lambert equation, using the net Hb absorbance at 415nm and an extinction coefficient of 141.2¹⁴.

Clot volume

Computed tomographic (CT) imaging of the head was available for 38 out of the 44 patients with high-grade aSAH providing CSF; there was no significant difference in the demographics and baseline characteristics of these 38 patients compared to the whole cohort (data not shown). Volumetric blood clot volume was quantified using MIPAV (Medical Image Processing, Imaging and Visualization) v7.2. We only included CT images in the analysis if acquired using the same imaging protocol within the first 3 days post-SAH, using contiguous slices. Image radiodensity threshold was set between 50 and 80 Hounsfield units, and converted to a binary mask. We manually drew regions of interest representing subarachnoid and total blood clot on each slice, and grouped them into single three-dimensional volumes.

Statistics

To evaluate the association between plasma haptoglobin level and *HP* CNV, we performed separate multivariable linear regression modelling in R using the ALSPAC cohort (n=325). We considered *HP* CNV as the exposure and plasma haptoglobin level as the outcome, adjusting for rs2000999 and sex.

For GOSH, we conducted multivariable logistic regression modelling in SPSS v23 and R. A logistic regression model was constructed, with mRS as binary dependent variable, and *HP* CNV, rs2000999 and other covariates listed in Table 1 as independent variables. We dichotomized Fisher grade into low (I-II) and high (III-IV) as per consensus in aSAH studies. We tested interactions

between the *HP* CNV / rs2000999 and other covariates for model fit. Only the *HP* CNV x Fisher category interaction improved model fit ($p < 0.001$). We performed sensitivity analysis with progressively decreasing length of follow-up, GOS as outcome, dichotomization of mRS into favourable (mRS 0-2) and unfavourable (mRS 3-6) outcomes, and non-dichotomized Fisher grade. Multiple imputation was performed using the iterative Markov Chain Monte Carlo method, with 10 iterations and 2×10^6 as the initialization value for the Mersenne twister random number generator. All variables were used and WFNS was dichotomized. The imputation was repeated for a total of five times; imputed values were very similar between the five imputations. Dichotomized mRS was regressed on the same variables as in the complete case analysis. One centre with one patient, which was missing from the complete case analysis, was also excluded from the imputed case analysis, since the quasi-complete separation in data for this centre prevented the logistic regression model from converging.

In the biochemical study, all scalar variables were non-parametric except age, so they were either natural log-transformed prior to ANOVA/ANCOVA or analysed with non-parametric tests. We performed analysis of covariance in SPSS with maximum ln haemoglobin-haptoglobin complex as the dependent variable, ln clot volume, age and maximum ln Qalb as scalar covariates and *HP* CNV genotype and sex as fixed factors. Analyses using mean values for haemoglobin-haptoglobin complex and Qalb showed similar results. For all studies, two-tailed hypotheses were tested with $\alpha = 0.05$.

Table 1. Biospecimen protocol and methodology reporting recommendations

From: Chou SH, Macdonald RL, Keller E; Unruptured Intracranial Aneurysms and SAH CDE Project Investigators. Biospecimens and Molecular and Cellular Biomarkers in Aneurysmal Subarachnoid Hemorrhage Studies: Common Data Elements and Standard Reporting Recommendations. Neurocrit Care. 2019 Jun;30(Suppl 1):46-59. doi: 10.1007/s12028-019-00725-4.

Core data element recommendations	
Biological tissue sample source	CSF
Conditions included/excluded	Only aneurysmal SAH was included. Other forms of SAH were excluded.
Baseline/time-zero specimen	Not applicable; all samples were collected after ictus.
Site and method of sample acquisition	CSF was ventricular. CSF was drawn from a three way tap connecting the ventricular catheter (approximately 30cm long) to the tubing leading to an external CSF drainage and monitoring system (Becker®, Medtronic). For sampling, the tap was opened to the ventricular catheter, and closed to the drainage system. The first 3ml of CSF (representing dead space) was discarded to ensure fresh CSF was obtained.
Timing of biospecimen collection	Alternate days from insertion of external ventricular drain (EVD) up to two weeks or until the EVD was removed
Type of collection tube	Sterile polystyrene
Method of biospecimen processing	<ul style="list-style-type: none"> Centrifugation to separate supernatant from cellular debris and separate storage was performed. Parameters: 10 min, soft brakes, 20°C, 1500 rcf.
Time lapse between sample collection and processing	60 minutes maximum
Method of biospecimen storage	<ul style="list-style-type: none"> Storage at – 80°C in cryotubes No freeze/thaw cycles

Supplemental data element recommendations	
Control biospecimens	Not applicable
Convalescent biospecimens	Not applicable
Serial biospecimen collection	For serial collection, consistent method of acquisition including site of acquisition was

	used, to minimize variance in biospecimen and biomarker analyses
Biospecimen storage	Storage was at -80°C in 100/450µL aliquots labelled with printed information (patient ID, time post-ictus, sample identity (CSF), sample date, volume) and an Excel-based inventory system
Biomarker analysis	No freeze/thaw cycles
Selective inhibitors use	Not used
Biospecimen transport and shipping	Samples transferred on dry ice

References

1. Farrell B, Godwin J, Richards S, et al. The United Kingdom transient ischaemic attack (UK-TIA) aspirin trial: final results. *J Neurol Neurosurg Psychiatry* 1991;54(12):1044-54.
2. Rankin J. Cerebral vascular accidents in patients over the age of 60. II. Prognosis. *Scott Med J* 1957;2(5):200-15.
3. Quinn TJ, Dawson J, Walters MR, et al. Reliability of the modified Rankin Scale: a systematic review. *Stroke* 2009;40(10):3393-5.
4. Teasdale GM, Drake CG, Hunt W, et al. A universal subarachnoid hemorrhage scale: report of a committee of the World Federation of Neurosurgical Societies. *J Neurol Neurosurg Psychiatry* 1988;51(11):1457.
5. Fisher CM, Kistler JP, Davis JM. Relation of cerebral vasospasm to subarachnoid hemorrhage visualized by computerized tomographic scanning. *Neurosurgery* 1980;6(1):1-9.
6. Boyd A, Golding J, Macleod J, et al. Cohort Profile: the 'children of the 90s'--the index offspring of the Avon Longitudinal Study of Parents and Children. *Int J Epidemiol* 2013;42(1):111-27.
7. Fraser A, Macdonald-Wallis C, Tilling K, et al. Cohort Profile: the Avon Longitudinal Study of Parents and Children: ALSPAC mothers cohort. *Int J Epidemiol* 2013;42(1):97-110.
8. Soejima M, Koda Y. TaqMan-Based Real-Time PCR for Genotyping Common Polymorphisms of Haptoglobin. *Clin Chem* 2008;54(11):1908-13.
9. Koch W, Latz W, Eichinger M, et al. Genotyping of the Common Haptoglobin Hp 1/2 Polymorphism Based on PCR. *Clin Chem* 2002;48(9):1377-82.
10. Froguel P, Ndiaye NC, Bonnefond A, et al. A Genome-Wide Association Study Identifies rs2000999 as a Strong Genetic Determinant of Circulating Haptoglobin Levels. *Plos One* 2012;7(3):e32327.
11. Bjornsson E, Helgason H, Halldorsson G, et al. A rare splice donor mutation in the haptoglobin gene associates with blood lipid levels and coronary artery disease. *Human Molecular Genetics* 2017;26(12):2364-76.
12. Guthrie PAI, Gaunt TR, Abdollahi MR, et al. Amplification ratio control system for copy number variation genotyping. *Nucleic Acids Research* 2011;39(8):e54-e54.
13. Delanghe J, Allcock K, Langlois M, et al. Fast determination of haptoglobin phenotype and calculation of hemoglobin binding capacity using high pressure gel permeation chromatography. *Clinica Chimica Acta* 2000;291(1):43-51.
14. Meng F, Alayash AI. Determination of extinction coefficients of human hemoglobin in various redox states. *Analytical Biochemistry* 2017;521:11-19.

Supplementary Data for:

Haptoglobin genotype and outcome after aneurysmal subarachnoid haemorrhage

Matthew J Morton PhD^{1#}, Isabel C Hostettler MD^{2#}, Nabila Kazmi PhD^{3#}, Varinder Alg MBBS², Stephen Bonner PhD⁴, Martin M Brown FRCP², Andrew Durnford MBBS⁵, Ben Gaastra MBBS⁵, Patrick Garland PhD¹, Joan Grieve MD⁶, Neil Kitchen PhD⁶, Daniel Walsh PhD⁷, Ardalan Zolnourian MBBS⁵, Henry Houlden PhD⁸, Tom R Gaunt PhD³, Diederik Bulters FRCS⁵, David J Werring PhD, FRCP^{2\$}, Ian Galea PhD, FRCP^{1\$*} on behalf of the Genetics and Observational Subarachnoid Haemorrhage (GOSH) Study investigators

joint first authorship

\$ joint senior authorship

* corresponding author

¹ Clinical Neurosciences, Clinical & Experimental Sciences, Faculty of Medicine, University of Southampton, UK

² Stroke Research Centre, UCL Queen Square Institute of Neurology, University College London, London, UK

³ MRC Integrative Epidemiology Unit (IEU), Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, UK

⁴ Department of Anaesthesia, The James Cook University Hospital, Middlesbrough, UK

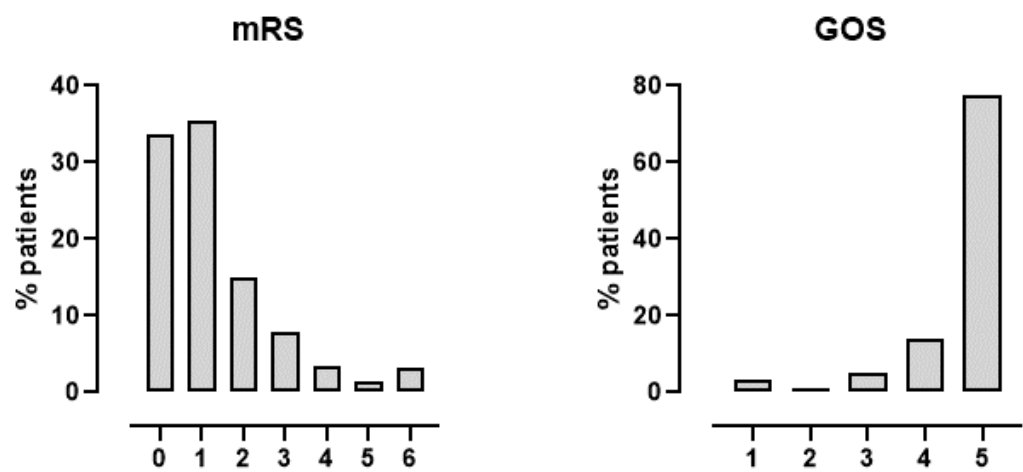
⁵ Wessex Neurological Centre, University Hospital Southampton NHS Foundation Trust, Southampton, UK

⁶ Department of Neurosurgery, The National Hospital of Neurology and Neurosurgery, London, UK

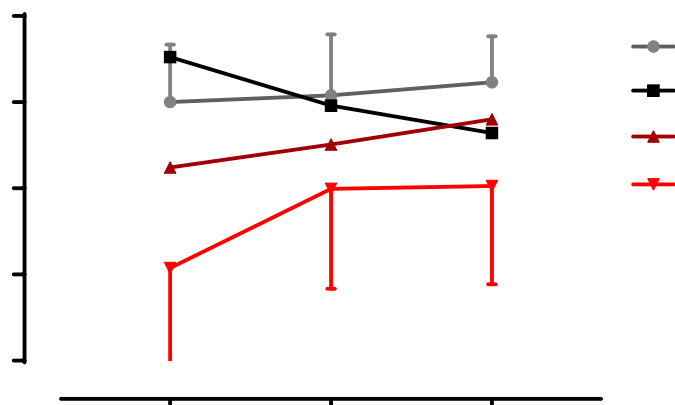
⁷ Department of Neurosurgery, King's College Hospital NHS Foundation Trust, London, UK

⁸ Neurogenetics Laboratory, The National Hospital of Neurology and Neurosurgery, London, UK

Supplementary Figure 1. mRS and GOS in the analysis population.



Supplementary Figure 2. Mean predicted probability of favourable outcome (mRS: 0-1) \pm standard deviation, by *HP* CNV and undichotomized Fisher grade. Contrasts: (1) At high Fisher: $p=0.013$, Odds ratio = 2.69 (95% CI: 1.2-5.9) for HP2-2 *versus* HP1-1; (2) At low Fisher: $p=0.42$, Odds ratio = 2.33 (95% CI: 0.30-17.9) for HP2-2 *versus* HP1-1. Error bars are only shown for Fisher I and IV to enhance readability.



Supplementary Table 1. Frequencies of *HP* CNV and rs2000999 genotypes in GOSH and ALSPAC cohorts: n (%).

	HP1-1	HP2-1	HP2-2	Total	χ^2 vs GOSH
GOSH	205 16%	612 47%	481 37%	1298 100%	
ALSPAC	137 15%	418 45%	372 40%	927 100%	NS

	rs2000999 AA	rs2000999 AG	rs2000999 GG	Total	χ^2 vs GOSH
GOSH	57 5%	379 29%	854 66%	1290 100%	
ALSPAC	34 4%	229 31%	485 65%	748 100%	NS

Supplementary Table 2. Multivariable linear regression of plasma haptoglobin level *versus* the *HP* CNV and rs2000999 in the ALSPAC cohort (n=325). *HP* CNV was considered as the exposure and plasma haptoglobin level as the outcome, with adjustment for covariates rs2000999 and sex (model fit: $r^2 = 0.23$, $p = 2.2 \times 10^{-16}$).

	Coefficient	SE	Lower 95% CI	Upper 95% CI	p value
<i>HP</i> CNV	-0.2641	0.0354	-0.334	-0.195	8.46×10^{-13}
rs2000999	-0.1356	0.041	-0.217	-0.054	0.00124
Sex (reference male)	-0.136	0.046	-0.227	-0.045	0.0037

Supplementary Table 3. Demographics and clinical characteristics of the CSF cohorts. Mean & SD^a, number and %^b.

	High Fisher grade	Low Fisher grade
Collection	Prospective	Retrospective
Number	44	8
Age (years)^a	59.8 ± 12.3	52.8 ± 9.0
Sex^b male female	15 (34%) 29 (66%)	2 (25%) 6 (75%)
Hypertension^b Yes No	23 (52.3%) 21 (47.7%)	1 (12.5%) 7 (87.5%)
WFNS^b 1 2 3 4 5	5 (11.4%) 10 (18%) 6 (13.6%) 15 (29.5%) 8 (13.6%)	7 (87.5%) 1 (12.5%)
Fisher grade^b 1 2 3 4	 2 (4.5%) 42 (95.5%)	7 (87.5%) 1 (12.5%)
Aneurysmal management^b Coiled Clipped Supportive	32 (72.7%) 5 (11.4%) 6 (13.6%)	3 (37.5%) 4 (50%) 1 (12.5%)

Supplementary Table 4. Analysis after imputation of missing values. Eight variables had missing values (range 0.7-7.4%). The findings were similar to the complete case analysis, namely (1) an interaction between *HP* and Fisher; (2) a protective effect of HP2-2 at high but not low Fisher grade; (3) a poor prognostic effect of a high Fisher category was present in HP1-1 but not HP2-2 patients.

		Imputation number								Pooled imputation		
		1		2		3		4				5
		OR	<i>p</i>	OR	<i>p</i>	OR	<i>p</i>	OR	<i>p</i>	OR	<i>p</i>	OR
Fisher x <i>HP</i>		0.008		0.052		0.014		0.032		0.003		
Fisher at <i>HP1-1</i>	3.9 (1.6-9.6)	0.003	3.4 (1.4-8.1)	0.005	4.7 (1.8-12.2)	0.001	3.1 (1.3-7.3)	0.008	3.7 (1.5-9.2)	0.004	3.7 (1.4-9.7)	0.007
Fisher at <i>HP2-2</i>	0.9 (0.5-1.5)	0.685	1.0 (0.6-1.8)	0.89	1.0 (0.6-1.7)	0.987	0.9 (0.5-1.6)	0.781	0.8 (0.5-1.6)	0.411	0.9 (0.5-1.6)	0.799
<i>HP</i>		0.011		0.046		0.043		0.012		0.025		
HP2-2 vs HP1-1 at low Fisher grade	0.5 (0.2-1.3)	0.151	0.6 (0.3-1.4)	0.255	0.4 (0.2-1.1)	0.077	0.7 (0.3-1.6)	0.373	0.4 (0.2-1.1)	0.073	0.5 (0.2-1.4)	0.194
HP2-2 vs HP1-1 at high Fisher grade	2.3 (1.3-4.0)	0.003	2.0 (1.2-3.5)	0.013	2.0 (1.2-3.5)	0.012	2.3 (1.3-4.0)	0.003	2.1 (1.2-3.6)	0.009	2.1 (1.2-3.8)	0.01